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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/706,644

Filing Date November 12, 2003

First Named Inventor Shuibo Xie

Art Unit Unknown

Examiner Name Unknown

Attorney Docket Number 1856-36301 (9782.0-02)

ENCLOSURES (check all that apply) Fee Transmittal Form □ Drawing(s) After Allowance Communication to Group Fee Attached ☐ Licensing-related Papers Appeal Communication to Board ☐ Amendment/Reply ☐ Petition of Appeals and Interferences ☐ After Final Petition to Convert to a Appeal Communication to Group Provisional Application (Appeal Notice, Brief, Reply Brief) Affidavits/declaration(s) ☐ Proprietary Information Power of Attorney, Revocation ■ Extension of Time Request Change of Correspondence Address ☐ Status Letter Express Abandonment Request ☐ Terminal Disclaimer ☑ Other Enclosure(s) (please identify below): ☐ Request for Refund Form PTO-1449; acknowledgment CD, Number of CD(s) Certified Copy of Priority Document(s) postcard Response to Missing Parts/ Incomplete Application Response to Missing Parts Remarks under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Or Jeffrey L. Johnson, Reg. No. 53,078 Individual Name Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or Printed Name Nanci D. Mohr Signature Date June 14, 2004

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Shuibo Xie, et al.

Group Art Unit:

Serial No.:

10/706,644

Examiner:

Filed:

November 12, 2003

Atty. Docket No.:

1856-36301

For:

Novel Syngas Catalysts And

Client Ref. No.:

9782.0-02

Their Method Of Use

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Atty. Dkt. No.: 1856-36301 (9782.0-02)

Date: June 14, 2004

Commissioner for Patents P. O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

In accordance with 37 CFR §1.97, §1.98, applicant is providing herewith copies of the supplementary items listed on the attached U.S. Patent and Trademark Office Form PTO 1449. If this application was filed prior to June 30, 2003, a copy of each publication listed on Form PTO-1449 is enclosed herewith. This information is supplemental to the Information Disclosure Statement and Form PTO 1449 filed in the above-referenced case on April 30, 2004.

The submission of this Supplemental Information Disclosure Statement and Form PTO-1449 is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action.

It is submitted that the art cited does not constitute a bar to the patentability of Applicants' invention under 35 U.S.C. § 102 or § 103.

No Office Action on the merits has been received in the present application. Nonetheless, in the event that an Office Action dated prior to the mailing date of this Supplemental Information Disclosure Statement has been issued, please charge Deposit Account 03-2769, Conley Rose, P.C., in the amount of \$180, so that this Supplemental Information Disclosure Statement may be considered under Rule 1.97(c).

Each item provided herewith was first cited in the PCT International Search Report for PCT/US03/36008 dated 12 May 2004 which is a foreign counterpart application of the above-styled matter.

Respectfully submitted,

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Form PTO-1449 (Modified)

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

and not considered. Include copy of this form with next communication to the applicant.

(Use several sheets if necessary)



Atty. Docket No. 1856-36301 (9782.0-02)

Serial No. 10/706,644

Applicant

Shuibo Xie et al. Filing Date

Group

November 12, 2003 REFERENCE DESIGNATION U.S. PATENT DOCUMENTS FILING DATE IF APPROPRIATE **EXAMINER DOCUMENT** DATE NAME **CLASS** SUB-NUMBER **CLASS** INITIAL AA 3,718,418 02/27/73 423 Fleming et al. 415 12/07/93 AB 5,268,157 Blass et al. 423 403 AC 6,277,894 08/21/01 Agee et al. 518 700 FOREIGN PATENT DOCUMENTS DOCUMENT DATE **COUNTRY CLASS** SUB-Translation NUMBER **CLASS** YES NO ΑD Х WO 00/00426 06/01/00 PCT COIB 3/38 OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) ΑE Search Report for Appln. No. PCT/US03/36008, dated 12/05/04; (2 p.) **EXAMINER** DATE CONSIDERED EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance